

High Level Recommendations That Are Overdue: Recommendation Tracking

No.	Audit Subject	Date reported to Cttee	Recommendations	Target Date for Implementation	Current Status	Actual Date of Implementation	Date presented to Cttee to Clear
1.	SEND Transformation - Governance - Decision Making Groups	30.07.2020	The Children and Families Sub Scheme of Delegation needs to be updated to ensure decision making is effective operationally and decisions are made by an appropriate officer at each stage of the process.	01.09.20 Revised to 30.04.21	<p>Actions Agreed:</p> <p>Scheme of Delegation is to be reviewed as part of the Children's and Families Strategic Development during April 2021, once this review and update is complete, this recommendation can be closed.</p> <p><u>Further Update on 13.07.21</u></p> <p>The Assistant Director for Education Strategy or the Head of Vulnerable Learners Services are now members of County DMG and processes are in place to ensure decisions are escalated to the appropriate officer including the Director and Chief Executive dependant on the value of the decision.</p> <p>Scheme of Delegation is to be reviewed as part of the</p>	N/A	N/A

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					<p>Children's and Families Strategic Development during April 2021, once this review and update is complete, this recommendation can be closed.</p> <p>Status: Overdue</p>		
2.	SEND Transformation - Governance - Decision Making Groups	30.07.20	The Variation to Procurement Regulations should be reviewed and if required extended to cover all decision making across Locality and County DMGs. Also, management should consider introducing a system of approval to ensure decision making remains effective	01.09.20 Revised to 30.04.21	<p>Agreed Action:</p> <p>Variation to Procurement Regulations for County DMG has already been drafted and in the process of being approved. As part of the TOR refresh and enquiries will be made with Procurement to confirm if this variation needs to be extended to Locality Managers.</p> <p><u>Further Update on 13.07.21</u></p> <p>The variation to procurement regulations was approved at SLT in June 2020.</p> <p>It has been acknowledged that agreements are dependent on</p>	N/A	N/A

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					<p>the school year and key stage of the individual child.</p> <p>The updated variation document states that:</p> <p>An Individual Placement Agreement (IPA) will only be in place for a maximum of 4 years for an individual pupil. This ensures that at each key stage/transition points a new IPA is drawn up to ensure the outcomes detailed within the IPA are current and cost implications are taken account of.</p> <p>This recommendation will be fully implemented once the ToRs have been updated.</p> <p>Status: Overdue</p>		