



Audit and Standards Committee Forward Plan 2021/22

If you would like to know more about our work programme, please get in touch with Lisa Andrews, Head of Audit & Financial Services, 01785 276402 or Lisa.Andrews@staffordshire.gov.uk

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
12 April 2021				
Annual Report of the work of the Audit & Standards Committee Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Charter 2021/22 Report of the County Treasurer Lead Officer Lisa Andrews				
Proposed changes to the Constitution	As required			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required			
Special Guardianship Payments Arrangements – progress report Lead Officer – Deborah Ramsdale				
Review of Joint Funding & Billing CCG's Continuing Healthcare - Progress Report Lead Officer – Karen Webb				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
SEND Services – Further Progress Report. Lead Officer – Tim Moss				
13 July 2021				
Review of the Constitution (as approved by Annual Council 20 th May 2021) Lead Officer: Chris Ebberley				
Appointment of Members to Standards Panel 2021/22. Lead Officer: Chris Ebberley				
External Audit Plan 2020/21 Report of Ernst & Young	Moved from February 2021			
Staffordshire Pension Fund Audit Plan 2020/21 Report of Ernst & Young	Moved from February 2021			
Annual Report on Information Governance Report of the Director of Corporate Services Lead Officer: Tracy Thorley/ Natalie Morrisey				
Internal Audit Plan 2021/22 Report of the County Treasurer Lead Officer: Debbie Harris				
Code of Corporate Governance – 2021/22 Update Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Outturn Report 2020/21 Report of the County Treasurer Lead Officer: Debbie Harris				
Code of Conduct for Members – Annual Report on the Management of Complaints Report of Director of Corporate Services Lead Officer: Chris Ebberley				
Forward Plan for the Audit and Standards Committee				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required	As required		
Review of the arrangements in place to address the risks of Cyber Attack. Lead Officers: – Natalie Morrissey/ Dave Sharkey				
26 October 2021				
Annual Governance Statement 2020/21 Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Training on Statement of Accounts				
Statement of Accounts 2020/21 Presentation and Report of County Treasurer Lead Officer: Rachel Spain				
Report to those charged with Governance (ISA 260) a) Staffordshire County Council Report of Ernst & Young				
Report to those charged with Governance (ISA 260) b) Staffordshire Pension Fund Report of Ernst & Young				
Development of an assurance framework Report of County Treasurer Lead Officer: Debbie Harris				
Strategic Risk Register - Update Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Proposed changes to the Constitution	As required			
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report)				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer: Debbie Harris				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required			
Information Management – Progress Report Lead Officer Natalie Morrisey				
14 December 2021				
Health, Safety and Wellbeing Performance Annual Report Report of Director of Corporate Services Lead Officer: Becky Lee				
Internal Audit Plan 2021/22 – Update Report of the County Treasurer Lead Officer: Debbie Harris				
National Fraud Initiative - Update Report of the County Treasurer Lead Officer: Debbie Harris				
Updated Procurement & Financial Regulations Lead Officers: Paul Timmins/Lisa Andrews				
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report) Lead Officer: Debbie Harris				
Proposed changes to the Constitution				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).				
Special Guardian Payments Arrangements – Further Progress report				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer – Deborah Ramsdale				
22 February 2022				
External Audit Plan 2021/22 Report of Ernst & Young				
Staffordshire Pension Fund Audit Planning Report 2021/22 Report of Ernst & Young				
Strategic Risk Register - Update Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Review of the Effectiveness of the Audit & Standards Committee. Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report) Lead Officer: Debbie Harris				
Proposed changes to the Constitution				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).				
26 April 2022				
Annual Report of the work of the Audit & Standards Committee Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Charter 2022/23 Report of the County Treasurer Lead Officer: Lisa Andrews				
Internal Audit Plan 2022/23				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Report of the County Treasurer Lead Officer: Debbie Harris				
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report) Lead Officer: Debbie Harris				
Proposed changes to the Constitution				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (Part 2 items).				

Membership

Mike Worthington (Chair)	Bernard Peters
Carolyn Trowbridge (Vice Chair)	James Salisbury
Arshad Afsar	Jamie Silvester-Hill
Richard Ford	Conor Wileman
Ross Ward	Ian Wilkes
Bernard Williams	Graham Hutton
Philippa Haden	
Phil Hewitt	

Calendar of Future Committee Meetings

(All meetings at 10.00 a.m. unless otherwise stated)

13 July 2021

26 October 2021
14 December 2021
22 February 2022
26 April 2022

Meetings usually take place at County Buildings, Martin Street, Stafford ST16 2LH