

Audit and Standards Committee Forward Plan 2022/2023 and 2023/2024

If you would like to know more about our work programme, please contact Lisa Andrews, Head of Audit & Financial Services, 01785 276402 or Lisa.Andrews@Staffordshire.gov.uk

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
21 March 2023				
External Audit Plan 2021/22 – Staffordshire County Council Report of Ernst & Young	Moved from Feb 2022			
External Audit – Verbal Update. Report of Ernst & Young				
Future of Local Public Audit Arrangements – Update. Report of the Director of Finance Lead Officer: Lisa Andrews	Moved from September 2022			
Review of the Effectiveness of the Audit & Standards Committee. Joint Report of the Director for Corporate Services & Director of Finance Lead Officer: Lisa Andrews				
Internal Audit Service - External Quality				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Review 2023. Report of the Director of Finance Lead Officer: Debbie Harris				
Internal Audit Charter 2023/24 Report of the Director of Finance Lead Officer: Debbie Harris				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<p>PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).</p> <ul style="list-style-type: none"> • Company Governance • Nexxus Financial Assurance Review • Cyber Security Strategy – Top Risk Review • Climate Change Action Fund 				
25 April 2023				
External Audit – Verbal Update. <ul style="list-style-type: none"> • Financial Year 2020/21 • Financial Year 2021/22 Report of Ernst & Young				
Annual Report of the work of the Audit & Standards Committee Joint Report of the Director for Corporate Services and Director of Finance Lead Officer: Lisa Andrews				
Special Panel of the Audit & Standards Committee for the appointment of Independent Remuneration Panel Members.				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Report of the Director for Corporate Services. Lead Officer: Chris Ebberley				
Annual Report on Information Governance Report of the Director for Corporate Services Lead Officer: Tracy Thorley/ Natalie Morrisey				
Internal Audit Plan 2023/24 Report of the Director of Finance Lead Officer: Debbie Harris				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).				
Infrastructure+ Asset Data Management – Progress report on implementation of Internal Audit recommendations. Lead Officers: David Walters/Scott Denny				
Settlement Agreements - Progress report on implementation of Internal Audit recommendations Lead Officer: Sarah Getley				
Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>). Top Risk Reviews • Highways Transformation Programme Position Statement				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
<ul style="list-style-type: none"> Send Strategy Implementation (Substantial Assurance) <p><u>Special Investigation</u></p> <ul style="list-style-type: none"> SEND Personal Budgets (significant fraud with police circa £180k) 				
11 July 2023				
Report to those charged with Governance (ISA 260) 2020/21 a) Staffordshire County Council Report of Ernst & Young	Moved from December 2021			
Report to those charged with Governance (ISA 260) 2020/21 b) Staffordshire Pension Fund Report of Ernst & Young	Moved from December 2021			
Annual Audit Letter – 2020/21 Report of Ernst & Young	Moved from September 2022			
Review of the Effectiveness of the Audit & Standards Committee – Results of the Member Workshop. Report of the Director of Finance Lead Officer: Lisa Andrews				
FRC Annual Report on the Quality of External Audit.				
Code of Corporate Governance –2023 Update Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Outturn Report 2022/23 Report of the Director of Finance Lead Officer: Debbie Harris				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Code of Conduct for Members – Annual Report on the Management of Complaints Report of Director for Corporate Services Lead Officer: Julie Plant				
Strategic Risk Management - Risk Management Policy Statement & Strategy. Report of the Director of Finance Lead Officer: Lisa Andrews				
Civil Contingencies Governance Annual Report – 2022/23 Report of the Director for Corporate Services Lead Officer: Tracy Thorley				
Proposed changes to the Constitution.	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required	As required		
Appendix 2 – Counter Fraud Outturn Report 2021/22 Lead Officers: Debbie Harris				
19 September 2023				
Report to those charged with Governance (ISA 260) 2021/22 c) Staffordshire County Council Report of Ernst & Young	Moved from December 2022			
Report to those charged with Governance (ISA 260) 2021/22	Moved from December 2022			

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
d) Staffordshire Pension Fund Report of Ernst & Young				
External Audit Plan 2022/23 – Staffordshire County Council. Report of Ernst & Young	Moved from March 2023			
Staffordshire Pension Fund Audit Planning Report 2022/23 Report of Ernst & Young	Moved from March 2023			
Review of the Effectiveness of the Audit & Standards Committee – Updated Terms of Reference.				
Review of the Effectiveness of the Audit & Standards Committee – Consideration of the Appointment of Independent Members to the Committee.				
Proposed changes to the Constitution	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required			
Nexus & Company Governance – Follow Up Report. Lead Officer – Debbie Harris/Kate Loader				
31 October 2023				
Health, Safety and Wellbeing Performance Annual Report Report of Director for Corporate Services	B/fwd. from December 2022 meeting			

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer: Becky Lee				
National Fraud Initiative - Update Report of the Director of Finance Lead Officer: Debbie Harris				
Annual Audit Letter – 2021/22 Staffordshire County Council				
Annual Audit Letter – 2021/22 Staffordshire Pension Fund				
Proposed changes to the Constitution	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (Part 2 items).	As required			
12 December 2023				
Annual Governance Statement 2022/23 Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Training on Statement of Accounts Lead Officer: Rachel Spain				
Statement of Accounts 2022/23 Presentation and Report of Director of Finance				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer: Rachel Spain				
Proposed changes to the Constitution	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (Part 2 items).				
20 February 2024				
Proposed changes to the Constitution	As required. (KL to advise)			
Report to those charged with Governance (ISA 260) 2022/23 e) Staffordshire County Council Report of Ernst & Young	Moved from December 2022			
Report to those charged with Governance (ISA 260) 2022/23 f) Staffordshire Pension Fund Report of Ernst & Young	Moved from December 2022			
Proposed changes to the Constitution	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
<i>(Part 2 items).</i>				
19 March 2024				
Annual Audit Letter – 2022/23 Staffordshire County Council Report of Ernst & Young (EY)	EY to provide update			
Annual Audit Letter – 2022/23 Staffordshire Pension Fund Report of Ernst & Young (EY)				
External Audit Plan 2023/24 Report of KPMG				
Staffordshire Pension Fund Audit Planning Report 2023/24 Report of KPMG				
Review of the Effectiveness of the Audit & Standards Committee. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Charter 2024/25 Report of the County Treasurer Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports <i>(Part 2 items).</i>				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
23 April 2024				
Annual Report of the work of the Audit & Standards Committee Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Strategic Risk Management - Risk Management Policy Statement & Strategy. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Annual Report on Information Governance Report of the Director for Corporate Services Lead Officer: Tracy Thorley/ Natalie Morrisey				
Internal Audit Plan 2024/25 Report of the Director of Finance Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required. (KL to advise)			
Standard Matters	As required. (JP to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports <i>(Part 2 items).</i>				

Membership

Mike Worthington Bernard Peters
(Chair) James Salisbury
Carolyn Trowbridge Janice Silvester-Hill
(Vice Chair) Richard Cox
Arshad Afsar Ian Wilkes
Keith Flunder Graham Hutton
Ross Ward Ann Edgeller
Bernard Williams
Philippa Haden
Phil Hewitt

**Calendar of Future Committee Meetings
(All meetings at 10.00 a.m. unless otherwise stated)**

24 April 2023
11 July 2023
19 September 2023
01 November 2023
13 December 2023
21 February 2024
21 March 2024
24 April 2024

Meetings usually take place at County Buildings, Martin Street, Stafford ST16 2LH