

Audit and Standards Committee Forward Plan 2021/22

If you would like to know more about our work programme, please get in touch with Lisa Andrews, Head of Audit & Financial Services, 01785 276402 or Lisa.Andrews@staffordshire.gov.uk

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
12 April 2021				
Annual Report of the work of the Audit & Standards Committee Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Charter 2021/22 Report of the County Treasurer Lead Officer Lisa Andrews				
Proposed changes to the Constitution	As required			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required			
Special Guardianship Payments Arrangements – progress report Lead Officer – Deborah Ramsdale				
Review of Joint Funding & Billing CCG's Continuing Healthcare - Progress Report Lead Officer – Karen Webb				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
SEND Services – Further Progress Report. Lead Officer – Tim Moss				
13 July 2021				
Review of the Constitution (as approved by Annual Council 20 th May 2021) Lead Officer: Chris Ebberley				
Appointment of Members to Standards Panel 2021/22. Lead Officer: Chris Ebberley				
External Audit Plan 2020/21 Report of Ernst & Young	Moved from February 2021			
Staffordshire Pension Fund Audit Plan 2020/21 Report of Ernst & Young	Moved from February 2021			
Annual Report on Information Governance Report of the Director for Corporate Services Lead Officer: Tracy Thorley/ Natalie Morrisey				
Internal Audit Plan 2021/22 Report of the County Treasurer Lead Officer: Debbie Harris				
Code of Corporate Governance –2021/22 Update Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Outturn Report 2020/21 Report of the County Treasurer Lead Officer: Debbie Harris				
Code of Conduct for Members – Annual Report on the Management of Complaints Report of Director for Corporate Services Lead Officer: Chris Ebberley				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required	As required		
Review of the arrangements in place to address the risks of Cyber Attack. Lead Officers: – Natalie Morrisey/ Dave Sharkey				
13 September 2021				
Annual Audit Letter – 2019/20 Lead Officer: External Auditor (EY)				
Review of the Constitution Report of the Director for Corporate Services Lead Officer: Ann-Marie Davidson				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required			
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report) Lead Officer: Debbie Harris				
26 October 2021				
LGSCO Annual Report Report of the Director for Corporate Services Lead Officer: Kate Bullivant				
Revised Procurement Regulations Report of the Director for Corporate Services				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer: Paul Timmins				
Revised Financial Regulations Report of the County Treasurer Lead Officer: Rob Salmon				
National Fraud Initiative - Update Report of the County Treasurer Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required (AMD to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
<p>PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).</p> <ul style="list-style-type: none"> • Partnerships – Limited Assurance Report • EDS Investigation Report 	As required			
Quarterly report – Purchase Card Cash purchases. Lead Officer: Steve Lycett				
Information Management – Progress Report Lead Officer Natalie Morrisey				
14 December 2021				
Annual Governance Statement 2020/21 Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Training on Statement of Accounts Lead Officer: Rachel Spain				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Statement of Accounts 2020/21 Presentation and Report of County Treasurer Lead Officer: Rachel Spain				
Report to those charged with Governance (ISA 260) a) Staffordshire County Council Report of Ernst & Young				
Report to those charged with Governance (ISA 260) b) Staffordshire Pension Fund Report of Ernst & Young				
Health, Safety and Wellbeing Performance Annual Report Report of Director for Corporate Services Lead Officer: Becky Lee				
Internal Audit Plan 2021/22 – Update Report of the County Treasurer Lead Officer: Debbie Harris				
Future Options for Local Public Audit Arrangements. Report of the County Treasurer Lead Officer: Lisa Andrews				
Constitution Refresh Working Party Report Report of the Director for Corporate Services Lead Officer: Chris Ebberly				
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).				
Special Guardian Payments Arrangements –				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Further Progress report Lead Officer – Liz Keeley				
22 February 2022				
Strategic Risk Management - Risk Management Policy Statement & Strategy. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Proposed changes to the Constitution	As required (AMD to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports <i>(Part 2 items).</i>				
Progress Report on the recommendations contained within the Governance and Culture of Cyber Security audit report. Lead Officers – Vic Falcus & Tracy Thorley				
Progress Report on the outstanding actions for the SEND Transformation - Governance (Decision Making Process). Lead Officer – Tim Moss				
Progress Report on the recommendations contained in the Infrastructure & Contract Quality Systems report. Lead Officer – James Bailey & Dave Walters				
Progress Report on the recommendations contained in the Taxi Investigation report and Counter Fraud Review. Lead Officers – Louise Clayton & Dominic Davidson.				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
22 March 2022				
External Audit Plan 2021/22 Report of Ernst & Young				
Staffordshire Pension Fund Audit Planning Report 2021/22 Report of Ernst & Young				
Strategic Risk Management Policy & Risk Register Update Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Review of the Effectiveness of the Audit & Standards Committee. Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report) Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required (AMD to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (Part 2 items).				
26 April 2022				
Annual Report of the work of the Audit &				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Standards Committee Report of the Director for Corporate Services Lead Officer: Lisa Andrews				
Internal Audit Charter 2022/23 Report of the County Treasurer Lead Officer: Lisa Andrews				
Annual Report on Information Governance Report of the Director for Corporate Services Lead Officer: Tracy Thorley/ Natalie Morrisey				
Counter Fraud Report – Cash Purchase Card Transactions (Quarterly Report) Lead Officer: Debbie Harris				
Proposed changes to the Constitution	As required (AMD to advise)			
Forward Plan for the Audit and Standards Committee Lead Officer: Lisa Andrews				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (Part 2 items).				

Membership

Mike Worthington Bernard Peters

Calendar of Future Committee Meetings

(All meetings at 10.00 a.m. unless otherwise stated)

<p><i>(Chair)</i> <i>Carolyn Trowbridge</i> <i>(Vice Chair)</i> Arshad Afsar Richard Ford Ross Ward Bernard Williams Philippa Haden Phil Hewitt</p>	<p>James Salisbury Janice Silvester-Hill Conor Wileman Ian Wilkes Graham Hutton Ann Edgeller</p> <hr/>	<p>13 July 2021 13 September 2021 26 October 2021 14 December 2021 22 February 2022 22 March 2022 26 April 2022</p> <p>Meetings usually take place at County Buildings, Martin Street, Stafford ST16 2LH</p>
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