

## **Appendix 1**

### **Details of Audits Contained in the Audit Plan Approved in July 2021 which have been cancelled.**

<b>Ref</b>	<b>Auditable Area</b>	<b>Reason for Cancellation</b>
1	Risk Management – Assurance Mapping	A new Audit Management System was procured in 2021/22 which incorporates an Enterprise Risk Management (ERM) module. As a result, Internal Audit's (IA) work on assurance mapping has been deferred pending the full implementation/roll out of the new ERM. This area will be reconsidered as part of the annual audit planning process for 2022/23.
2	Communities Principle & Delivery Plan: Crowd Funding (#doing our bit)	Audit is no longer required. Earlier in the year, the Communities Leadership Group agreed that SCC would not be renewing the investment due to a number of factors including a review of both the Strategic Plan and Communities principle. There was also little take-up of the Children and Families fund and it was noted that people could still Crowdfund in Staffordshire and raise money for community projects without our investment in a bespoke platform.
3	Adult Social Care Pathway	The Assistant Director – Adult Social Work and Safeguarding has requested that this audit is deferred to 2022/23 as the team's own internal review of how they measure "work in progress" has been delayed (the scope of audit work was to cover this area). Therefore, time is required for the internal review to be completed and for any process changes to be embedded before IA can give assurance over this area and for this work to add most value to the service. It is therefore proposed to defer this audit review until 2022/23.
4	Back to Business - Business Start Up Programme / Ignite your Entrepreneur	The Assistant Director – Skills and Employability has confirmed that take up for this Scheme has been low and therefore a specific IA review in this area would not be a good use of resource. As such, it has been agreed that this Scheme will be included in the wider IA review of the Back to Business – Additional Restrictions Grant (ARG)

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		programme to be completed in Quarter 4 2021/22.
5	Housing	<p>Audit cancellation is required due to additional work undertaken by the IA Team and to bring the IA plan and resources back in to balance.</p> <p>The housing strategy requires time to embed and therefore this audit can be deferred to 2022/23 with limited/no impairment on the annual audit opinion for 2021/22.</p>
6	Job Evaluation	<p>Audit cancellation is required due to additional work undertaken by the IA Team and to bring the IA plan and resources back in to balance.</p> <p>Although this area has not been audited for several years, this area is subject to HR's own internal review. Therefore, this audit could be deferred to 2022/23 to look at the new processes developed with limited/no impairment on the annual audit opinion for 2021/22.</p>

