



Audit and Standards Committee Forward Plan 2019/20

If you would like to know more about our work programme, please get in touch with Tina Gould, Scrutiny and Support Manager, 01785 276148 or tina.gould@staffordshire.gov.uk

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
11 March 2019				
Annual Report of the Management of Complaints made under the Members' Code of Conduct Lead Officer: Ann-Marie Davidson				
New item: Review of the Effectiveness of Audit and Standards Committee Report of Director of Corporate Services Lead Officer: Debbie Harris				
External Audit Plan 2018/19 Report of Ernst & Young				
Staffordshire Pension Fund Audit Planning 2018-19 Report of Ernst & Young				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Local Government Audit Committee briefing Report of Ernst & Young				
Part Two: Cyber Essentials Update: Tracy Thorley/Natalie Morrisey			Follow up of uncompleted actions proposed at meeting on 30.10.18.	Item deferred from December meeting
Part Two (new item): Use of Data, Analytics and the Development of Continuous Controls Monitoring Report of Director of Corporate Services Lead Officer: Debbie Harris				
Forward Plan for the Audit and Standards Committee	All meetings			
Proposed changes to the Constitution	As required			
Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>)	As required			Part 2 items - Exemption paragraph 3.
12th June 2019				
Appointment of Independent Remuneration Panel Members 2019-20 Report of Director of Corporate Services Lead Officer: Ann-Marie Davidson				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Annual Information Governance Statement Report of Director of Corporate Services Lead Officer: Tracy Thorley, Head of Business Support & Compliance				
Code of Corporate Governance Report of the Director of Corporate Services Lead Officer: Lisa Andrews Head of Audit and Financial Services				
Report of the Local Government and Social Care Ombudsman – Investigation into a complaint against Staffordshire County Council. Report of the Director of Corporate Services				
Internal Outturn Report 2018-19 Report of the County Treasurer Lead Officer: Debbie Harris				
Internal Audit Charter 2019 Report of the County Treasurer				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Lead Officer: Debbie Harris				
Internal Audit Plan 2019/20 Report of the County Treasurer Lead Officer: Debbie Harris				
Correspondence received from Ernst & Young re audit fee 2019-2020				
Interim update report 2018/19 Report of Ernst & Young				
Local Government Audit Committee Briefing - Update Report of Ernst & Young.				
PART TWO EXEMPT Internal Audit Special Investigations/Reports of Limited Assurance/Top Ten Risk Areas (<i>Part 2 of agenda</i>)				Part 2 Exempt items
New item: - Report of the Standards Panel Report of the Director of Corporate Services				
Forward Plan for the Audit and Standards Committee 2019/20 Lead Officer – Lisa	All meetings			

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
Andrews Head of Audit & Financial Services				
30th July 2019				
Annual Governance Statement 2018-19 Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Training on Statement of Accounts				
Statement of Accounts 2018-19 Presentation and Report of County Treasurer Lead Officer: Rachel Spain				
Report to those charged with Governance (ISA 260) a) Staffordshire County Council Report of Ernst & Young				
Report to those charged with Governance (ISA 260) b) Staffordshire Pension Fund Report of Ernst & Young				

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Forward Plan for the Audit and Standards Committee	All meetings			
<p>New Item: Infrastructure + Risk Management</p> <p>Report of the Director of Corporate Services Lead Officer: Lisa Andrews/James Bailey</p>				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>).	As required			Part 2 items - Exemption paragraph 3.
PART TWO EXEMPT New Item Questions Arising from reports Circulated to Members outside the Agenda				
14th October 2019				
<p>New item: Annual Report of the work of the Audit & Standards Committee Report of the Director of Corporate Services Lead Officer: Lisa Andrews</p>				
<p>Strategic Risk Register - Update Report of the Director of Corporate Services Lead Officer: Lisa Andrews</p>				

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
<p>New item: Development of an assurance framework Joint Report of Director of Corporate Services & County Treasurer Lead Officer: Debbie Harris</p>				
<p>Proposed changes to the Constitution As required</p>	As required			
<p>New Item: Appointment of Independent Member to Audit and Standards Committee Report of the Director of Corporate Services Lead Officer: Lisa Andrews</p>				
<p>Annual Audit letter 2018/19 Report of Ernst & Young</p>				
<p>New item: Potential use of automation in audit and use of Artificial Intelligence Report of Ernst & Young</p>				
<p>Forward Plan for the Audit and Standards Committee</p>	All meetings			Part 2 items - Exemption paragraph 3.
<p>PART TWO EXEMPT Cyber Essentials Update: Tracy Thorley/Natalie Morrisey</p>			Regular Update to members on simulation results	PART TWO EXEMPT Cyber Essentials Update: Tracy Thorley/Natalie Morrisey

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PART TWO EXEMPT National Fraud Initiative (2018) – Update				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>)	As required			Part 2 items - Exemption paragraph 3.
PART TWO EXEMPT Update– Prisons and Approved Premises Team – Care Assessment and Management - Implementation of previous recommendations	October 2019		At its meeting on 24.9.18 Members asked that a further update be brought to the Committee in 12 months' time.	
2nd December 2019				
Health, Safety and Wellbeing Performance Annual Report Report of Director of Corporate Services Lead Officer: Becky Lee				
Internal Audit Plan 2019/20 - Update				
Local Government Sector Update Report Report of Ernst & Young				
Proposed changes to the Constitution As required	As required			
Forward Plan for the Audit and Standards Committee	All meetings			

Item and lead officer	Date of meeting	Links with Council strategic commissioning priorities	Detail	Action/Outcome
PART TWO EXEMPT Update on Data Centre Environmental & Physical Security Controls – Implementation of previous recommendations				
PART TWO EXEMPT Update on Approved Mental Health Professionals – Implementation of previous recommendations				
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>)	As required			Part 2 items - Exemption paragraph 3.
PART TWO EXEMPT Cyber Essentials Update: Tracy Thorley/Natalie Morrisey			Regular Update to members on Multi agency exercise in November 2019	
20th April 2020				
Annual Information Governance Statement Report of the Director of Corporate Services Lead Officer: Tracy Thorley				
Amendments to the Strategic Risk Register				

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Report of the Director of Corporate Services Lead Officer: Lisa Andrews				
Review of the effectiveness of the Audit & Standards Committee – Update Report of the Director of Corporate Services Lead Officer: Debbie Harris				
Internal Audit Charter 2020/21 Report of the County Treasurer Lead Officer Debbie Harris				
Internal Audit Plan 2020-21 Report of the County Treasurer Lead Officer: Debbie Harris				
Annual Report of the Management of Complaints made under the Members’ Code of Conduct Report of the Director of Corporate Services Lead Officer: Ann-Marie Davidson				

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External Audit Plan 2019-20 Report of Ernst & Young				
Staffordshire Pension Fund Audit Planning Report 2019/20 Report of Ernst & Young				
Proposed changes to the Constitution As required	As required			
Forward Plan for the Audit and Standards Committee	All meetings			
PART TWO EXEMPT Internal Audit Special Investigation/limited/ Top Risk Areas reports (<i>Part 2 items</i>)	As required			Part 2 items - Exemption paragraph 3.

Membership

Derek Davis, OBE
Mike Davies
Michael Greatorex
Martyn Tittley (Chairman)
David Brookes - (*Until 23rd May 2019*)
Ann Engeller - (*from 23rd May 2019*)
Colin Greatorex
Jill Hood

Paul Northcott
Jeremy Oates
Jonathan Price- (*from 23rd May 2019*)
Carolyn Trowbridge (Vice-Chairman)
Ross Ward
Bernard Williams

Calendar of Committee Meetings

(All meetings at 10.00 a.m. unless otherwise stated)

11 March 2019
12 June 2019
30 July 2019
14th October 2019 - ****14:00
2nd December 2019 ****14:00
20th April 2020

Meetings usually take place at County Buildings, Martin Street,

Ian Lawson - *(Until 23rd May 2019)*

Victoria Wilson
Susan Woodward

Stafford ST16 2LH